



Financial Services Regulatory and Compliance Risk

Explore an Advisory career within this team of 150+ professionals nationwide



What we do

Global financial institutions, payment providers, insurance companies, asset managers, fintechs, and other corporations look to us to identify ways to advance their risk and compliance journeys. We deliver strategic design and transformation solutions to help optimize risk and compliance response, remediation, and adherence, and we bring insights that help our clients evolve their risk and compliance programs and deploy automation and analytics while meeting their business needs. Our team leverages innovation to help our clients realize their risk and compliance goals and drive effectiveness, efficiency, agility, and sustainability across their businesses.



How we deliver

There are many ways we support our clients, including assistance with:

- Compliance, operational, third-party, and enterprise risk management
- Business and risk integration
- Testing monitoring and surveillance
- Conduct and Culture Risk and Compliance Transformation
- Risk and compliance convergence
- Process improvement implementation, including technology, automation, and analytics
- Regulatory and business change management
- Enterprise compliance program transformation
- Consumer harm restitution and remediation
- Risk and compliance program development



Where we've made an impact

A top-10 national bank sought support for compliance integration within the strategy, development, and deployment of its new digital bank. Our team supported both the product development to help ensure the product was compliant as well as the build-out of the risk and compliance infrastructure to maintain compliance and manage risk. For example, we developed a testing strategy based upon subject matter knowledge of regulatory expectations, industry leading practice, and the client's risk appetite and provided support to facilitate execution of the strategy. As a result, the client was able to make informed, timely decisions on the development and rollout of digital bank functionality. This provided the client with a sustainable model for compliance integration in future transformation initiatives.



Who we hire

Candidates pursuing a bachelor's or master's degree in accounting, finance, business management, management information systems or computer science, law, or economics



Ways we work

We work in a collaborative team setting with significant opportunities to travel and work on-site at client locations.



Our team brings the right knowledge, experience, and tools to help our clients achieve strategic and sustainable risk management solutions.



Some or all of the services described herein may not be permissible for KPMG audit clients and their affiliates or related entities.

© 2022 KPMG LLP, a Delaware limited liability partnership and a member firm of the KPMG global organization of independent member firms affiliated with KPMG International Limited, a private English company limited by guarantee. All rights reserved. The KPMG name and logo are trademarks used under license by the independent member firms of the KPMG global organization. NDP290400-10